

The Investment Compliance platform for Depositaries and Fund Administrators. ALTO* Investment Compliance is a powerful and flexible solution designed for asset servicing companies to ensure trustee controls and compliance monitoring for their Depositary and Fund Administrator duties and services.

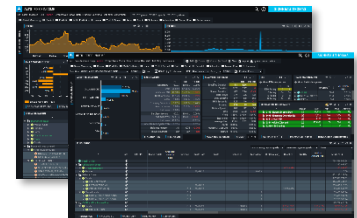
Most importantly, it covers the entire value chain: flexible rule coding interfaces (regulatory, prospectus or client-specific constraints), interactive breach management, 360° oversight dashboards and compliance reporting factory. The solution offered by Amundi Technology leverages core components of ALTO* Suite, the Investment platform, benefiting from the latest innovations and a continuous delivery organization. ALTO* Investment Compliance can be deployed on cloud infrastructures.

 **Investment Compliance and Trustee Control value chain**

1

Program and Design Investment Rules

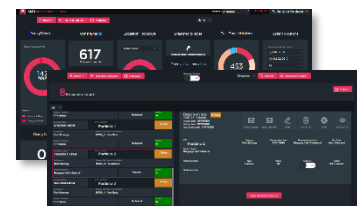
ALTO* Portfolio Analysis can be used to design a visual representation of portfolio breakdown and ratios, as well as provide a visual and real-time testing solution for rules empowered with Front Office engine and analytics



2

Manage Breaches

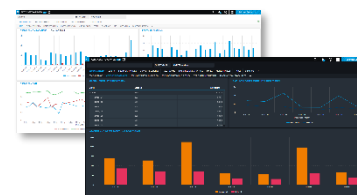
ALTO* Investment Compliance is a state-of-the-art platform for swiftly taking action on breaches and provides 360° monitoring on all incidents

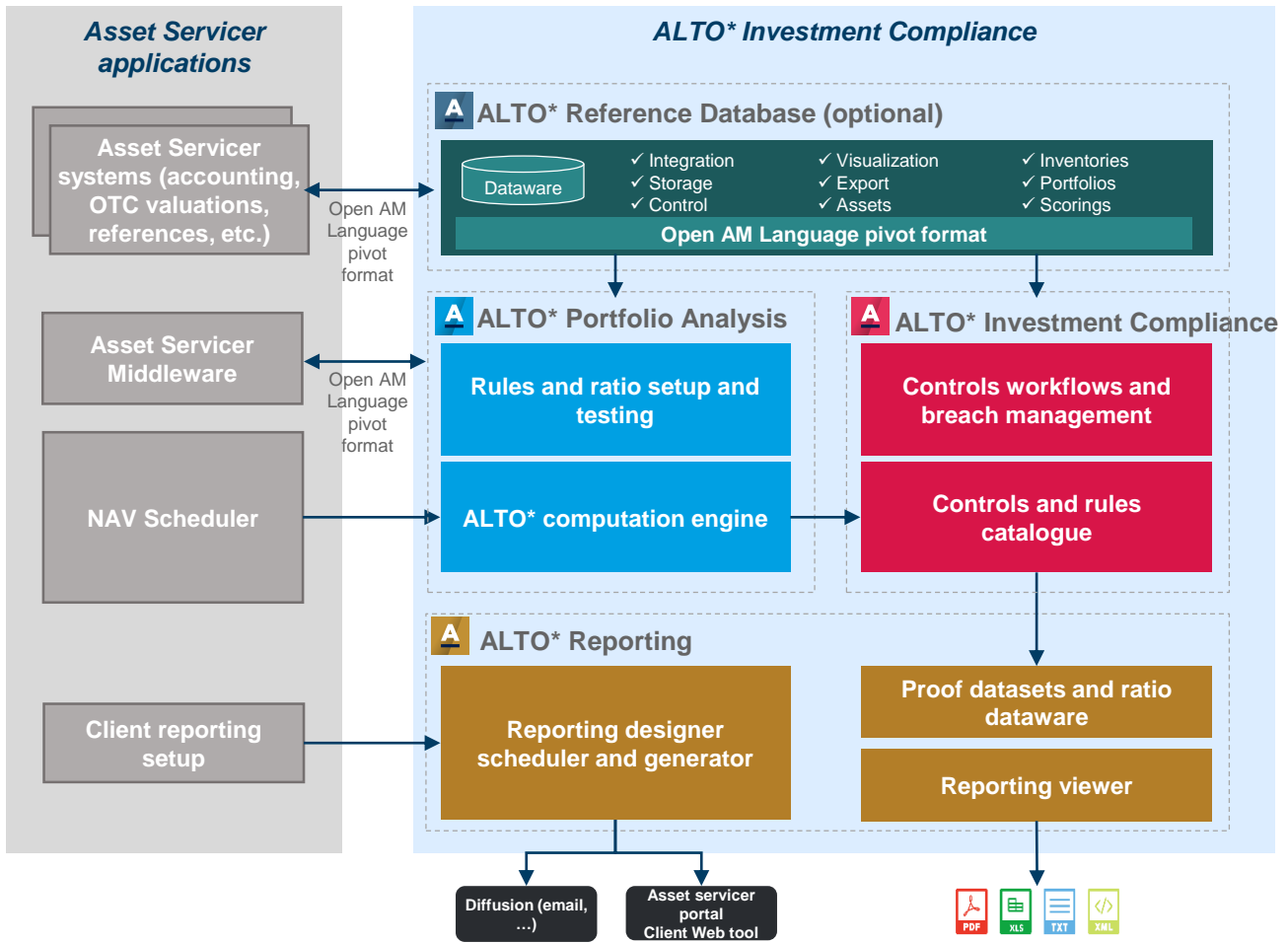


3

Produce Client Reporting

ALTO* Reporting is a flexible and scalable platform for accessing ALTO* data and preparing bespoke reports in any format





Main advantages

- Manage a range of regulatory, statutory and complex client-specific constraints;
- Based on a Front Office engine with a comprehensive library of analytics and scenarios;
- Total transparency on calculated ratios & storage of “proof of result”;
- State-of-the-art incident management platform;
- Scalable, flexible and consistent client reports that cover ratios and all client analytics;
- Based on ALTO* Open Asset Management Language, open-source data model for Asset Management co-constructed with Amundi business and Asset Servicers.

Amundi Technology

Amundi Technology is a strategic business line offering **innovative technology and services** to support clients reshaping their operating model. The solutions proposed aim to meet the needs of **asset management, asset owners, wealth management, distribution and asset servicer** in order to provide clients high-performance tools enabling them to focus on their core business.



ALTO* Investment

A modular Portfolio Management Platform covering the entire Asset Management value chain



ALTO* Wealth & Distribution

A modular core-to-digital platform for Discretionary Portfolio Management and Advisory solutions

ALTO* Employee Savings & Retirement

A consolidated management platform for retirement savings

Specialized solutions

A wide range of technology and services leveraging on our experience and know-how



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**Amundi Leading Technologies & Operations*

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